

FORM PTO-1390  
(REV. 01-2903)

US DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
122006**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
New U.S. National Stage of PCT/DK03/00405  
**517,424**INTERNATIONAL APPLICATION NO.  
PCT/DK03/00405INTERNATIONAL FILING DATE  
June 18, 2003PRIORITY DATE CLAIMED  
June 18, 2002TITLE OF INVENTION  
AN OPTICAL ELEMENT FOR SHIELDING AGAINST LIGHTAPPLICANTS FOR DO/EO/US  
Eik BEZZEL; Hanne LAURITZEN; Signe WEDEL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  - c. ☐ The International Application was filed in English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Submission of Transmittal of Power of Attorney and Statement Under 37 CFR § 3.73(b)

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) New U.S. National Stage of PCT/DK03/00405		INTERNATIONAL APPLICATION NO. PCT/DK03/00405		ATTORNEY'S DOCKET NUMBER 122006																																																																															
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Basic National Fee ..... \$ 300.00  Search Fee ..... \$ 500.00  Examination Fee ..... \$ 200.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$ Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">TOTAL PAGES OF APPLICATION OVER 100 ( - 100)</td> <td style="width: 10%; text-align: center;">÷ 50</td> <td style="width: 10%; text-align: center;">= †</td> <td style="width: 10%; text-align: center;">x 250 =</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 35%;"></td> </tr> </table> †round up to next integer <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">CLAIMS</td> <td style="width: 10%;">NUMBER FILED</td> <td style="width: 10%;">NUMBER EXTRA</td> <td style="width: 10%;">RATE</td> <td style="width: 10%;">\$</td> <td style="width: 35%;"></td> </tr> <tr> <td>TOTAL CLAIMS</td> <td style="text-align: center;">- 20</td> <td style="text-align: center;">=</td> <td style="text-align: center;">x 50.00 =</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td style="text-align: center;">- 3</td> <td style="text-align: center;">=</td> <td style="text-align: center;">x 200.00 =</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S)(if applicable)</td> <td style="text-align: center;">+ 360.00 =</td> <td style="text-align: center;">\$</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: center;">\$0</td> <td></td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.         </td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4"><b>SUBTOTAL =</b></td> <td style="text-align: center;">\$0</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: center;">\$0</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td> <td style="text-align: center;">\$0</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: right;">Amount to be refunded:</td> <td style="text-align: center;">\$</td> </tr> <tr> <td style="text-align: right;">charged:</td> <td style="text-align: center;">\$</td> </tr> </table> <div style="margin-top: 10px;"> <p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> </div> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p><b>SEND ALL CORRESPONDENCE TO:</b>  <b>OLIFF &amp; BERRIDGE, PLC</b>  <b>Customer Number: 25944</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div> <p>Date <u>December 20, 2004</u></p> </div> <div> <p>NAME: James A. Oliff          REGISTRATION NUMBER: 27,075</p> <p>NAME: Joel S. Armstrong          REGISTRATION NUMBER: 36,430</p> </div> </div>				TOTAL PAGES OF APPLICATION OVER 100 ( - 100)	÷ 50	= †	x 250 =	\$		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		TOTAL CLAIMS	- 20	=	x 50.00 =	\$		INDEPENDENT CLAIMS	- 3	=	x 200.00 =	\$		MULTIPLE DEPENDENT CLAIM(S)(if applicable)				+ 360.00 =	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$0		<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$		<b>SUBTOTAL =</b>				\$0		Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		<b>TOTAL NATIONAL FEE =</b>				\$0		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		<b>TOTAL FEES ENCLOSED =</b>				\$0						Amount to be refunded:	\$	charged:	\$
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Eik BEZZEL et al.

ATTN: PCT Branch

Application No.: 10/517,424

Docket No.: 122006

Filed: December 20, 2004

For: AN OPTICAL ELEMENT FOR SHIELDING AGAINST LIGHT

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C 371 IN THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**  
**DECLARATION ALREADY FILED**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

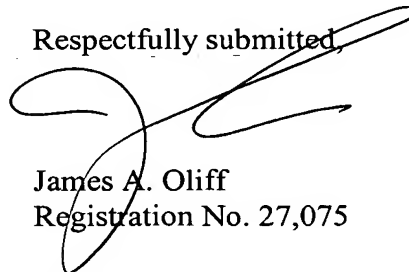
Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on May 13, 2005, the executed Declaration of the inventors and a Power of Attorney was filed on December 20, 2004. Any necessary Designation of Ten Attorneys for Power of Attorney or Transmittal of Power of Attorney and Statement Under 37 C.F.R. §3.73(b) is also attached. A copy of the executed Declaration as filed, a copy of the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, and a copy of the stamped postcard receipt are attached hereto. The surcharge for providing the oath or declaration was not required as it was filed prior to the 30 month date.

Entry of these documents on December 20, 2004 should have completed all of the filing formalities. Accordingly, prompt issuance of a Notification of Acceptance and Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Jesse O. Collier  
Registration No. 53,839

JAO:JOC/mps  
Date: May 31, 2005

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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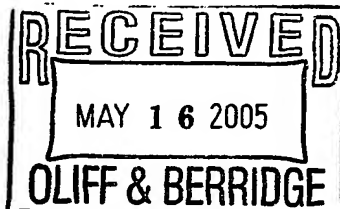


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

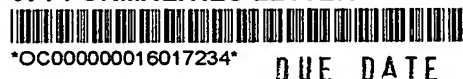
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/517,424	Eik Bezzel	122006

25944  
OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320



INTERNATIONAL APPLICATION NO.	
PCT/DK03/00405	
LA. FILING DATE	PRIORITY DATE
06/18/2003	06/18/2002

CONFIRMATION NO. 2514  
371 FORMALITIES LETTER



\*OC000000016017234\*

DUE DATE

Date Mailed: 05/13/2005

JUL 1 3 2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/08/2004
- Copy of the International Search Report filed on 12/08/2004
- Copy of IPE Report filed on 12/08/2004
- Copy of Annexes to the IPER filed on 12/08/2004
- Preliminary Amendments filed on 12/08/2004
- Information Disclosure Statements filed on 12/08/2004
- U.S. Basic National Fees filed on 12/08/2004
- Priority Documents filed on 12/08/2004

## MISSING PARTS

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

**DOCKETED**  
By hbm on 5/16 2005  
and  
By Jmm on 5/16 2005  
Oliff & Berridge

Additionally the following defects have been observed:

- Annexes have not been entered because the IPER annexes are not a page for page substitution.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/517,424	PCT/DK03/00405	122006

FORM PCT/DO/EO/905 (371 Formalities Notice)

06/03/2005 SNAJARRO 00000050 150461 10517424

01 FC:2617 65.00 DA

**PTO RECEIPT FOR FILING OF PAPERS**

► **Mail Room (Regular Delivery)**

**The following papers have been filed:**

PCT Trans; DEC; POA Trans; Gen POA w/ cpy of ASSIGN

**Name of Applicant:** Eik BEZZEL et al.

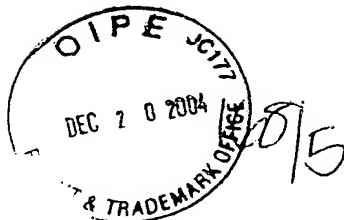
**Serial No.:** New U.S. National Stage of PCT/DK03/00405

**Atty. File No.:** 122006

**Title (New Cases):**

**Sender's Initials:** JAO/mps

**PATENT OFFICE DATE STAMP**



**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

**Oliff & Berridge, PLC  
277 S. Washington Street, Suite 500, Alexandria, Virginia 22314**